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CALENDAR YEAR 2020

INSURANCE

- Recovered over \$4.5 million (through 12/1/20) for Montanans by handling 1,033 complaints and 280 inquiries. Policyholder Services fields ~10,000 calls annually.
- Saved \$8.2 million for over 52,000 Montanans on their insurance rates, reviewed 4,320 rate filings and 3,872 form filings.
- Approved over \$32 million in insurance savings [due to the COVID-19 pandemic](#).
- 26 Property & Casualty and 4 Health insurance companies were issued certificates of authority, with 1,677 total insurance companies operating in Montana.
- 133,695 total active licenses in Montana (122,283 individuals, 11,412 businesses).

SECURITIES

- Referred 3 criminal and 9 administrative matters, \$20.4 million in ordered restitution, \$26,000 in fines, \$50,000 paid to one Montana victim from the Securities Restitution Assistance Fund.
- 122,774 licensed salespeople (1,226 live in MT), 1,283 licensed broker-deal firms (2 domiciled in MT), 1,048 licensed investment adviser firms (82 domiciled in MT managing over \$28 billion in assets for more than 69,000 clients).
- Approved Montana issuers to raise up to \$1.4 billion of investment capital.

LEGAL & INVESTIGATIONS

- Investigations opened 217 cases and referred 24 to Legal.
- Closed 70 legal matters with nearly \$20,000 in restitution and over \$92,000 in fines.
- Processed 71 public records requests and released nearly 2,400 pages of documents.

FISCAL YEAR 2020

BUDGET/OPERATIONS

- Total CSI expenditures in FY 2020 (\$7.46 million) were 4.3% lower than in FY 2017 (\$7.79 million).
- \$104 million collected and transferred to the State General Fund.

TOTAL ADMIN RULES

- During Commissioner Matt Rosendale's administration, from 2017 to 2020, CSI took the following actions on administrative rules:
 - o Repealed 55, issued 27 new, amended 112, and transferred 5.